

# ***Safe & Effective Pharmacological Tobacco Cessation Supports for Individuals with Behavioral Health Conditions***

Presented by:

Anita Everett, MD, DFAPA

Marc L. Steinberg, PhD

Jill M. Williams, MD

Trish Dooley Budsock, MA, LPC, CTTS



National Behavioral Health Network  
*For Tobacco & Cancer Control*

Tuesday, November 28<sup>th</sup>, 2017, 2:00pm EDT



# Welcome!



## Lea Simms

- Project Coordinator, Policy & Practice Improvement
- National Behavioral Health Network for Tobacco & Cancer Control
- National Council for Behavioral Health
- [LeaS@thenationalcouncil.org](mailto:LeaS@thenationalcouncil.org)



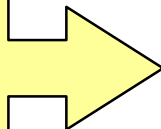
## Margaret Jaco Manecke, MSSW

- Project Manager, Practice Improvement
- National Behavioral Health Network for Tobacco & Cancer Control
- National Council for Behavioral Health
- [MargaretM@thenationalcouncil.org](mailto:MargaretM@thenationalcouncil.org)



# Housekeeping

How to join  
the webinar?



## GoToWebinar INSTRUCTIONS:

Join the webinar:

<https://attendee.gotowebinar.com/register/2409659283598217217>

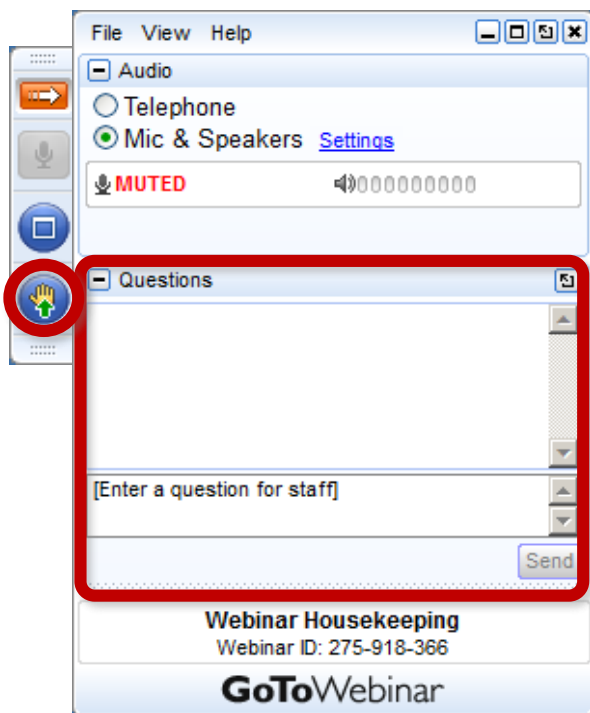
Call in using your telephone: +1 (213) 929-4232

Access Code: 723-732-406

Audio PIN: Shown after joining the meeting

To ask a question: type it into the Q&A box.

Technical difficulties? Call Citrix  
Tech Support at 888-585-9008







## National Behavioral Health Network

*For Tobacco & Cancer Control*

- Jointly funded by CDC's *Office on Smoking & Health* & *Division of Cancer Prevention & Control*
- Provides resources and tools to help organizations reduce tobacco use and cancer among people with mental illness and addictions
- 1 of 8 CDC National Networks to eliminate cancer and tobacco disparities in priority populations

Visit [www.BHtheChange.org](http://www.BHtheChange.org) and  
Join Today!

### Free Access to...

Toolkits, training opportunities, virtual communities and other resources

### Webinars & Presentations

### State Strategy Sessions

### Communities of Practice



Smoking Cessation  
Leadership Center



University of California  
San Francisco



#BHtheChange



# Special thanks to our webinar co-host!





# Today's Agenda

- Opening Remarks
- Tobacco Cessation Counseling for Behavioral Health Population
- Utilizing Pharmacotherapy for Tobacco Cessation for Behavioral Health Population
- Provider experience: Providing Treatment to Clients with Psychiatric Conditions
- Moderated Q&A



# Opening Remarks



## Anita Everett, MD, DFAPA

- Chief Medical Officer, Substance Abuse and Mental Health Services Administration (SAMHSA)
- Long-term community psychiatrist
- Formerly served as Division Director of Community Psychiatry Services at Johns Hopkins in Baltimore, MD





## Guest Presenter #1

### Marc L. Steinberg, PhD



- Clinical psychologist, and the Director of the Tobacco Research & Intervention lab
- Associate Professor of Psychiatry and Associate Director, Division of Addiction Psychiatry at Rutgers University-Robert Wood Johnson Medical School
- Member of the Motivational Interviewing Network of Trainers
- Actively serves on the Society for Research on Nicotine & Tobacco's (SRNT) Communications Committee and Advisory Committee
- Deputy Editor for the journal *Nicotine & Tobacco Research*

# RUTGERS

Robert Wood Johnson  
Medical School

## Tobacco Cessation Counseling for Behavioral Health Populations

Marc L. Steinberg, Ph.D.,

Associate Professor of Psychiatry

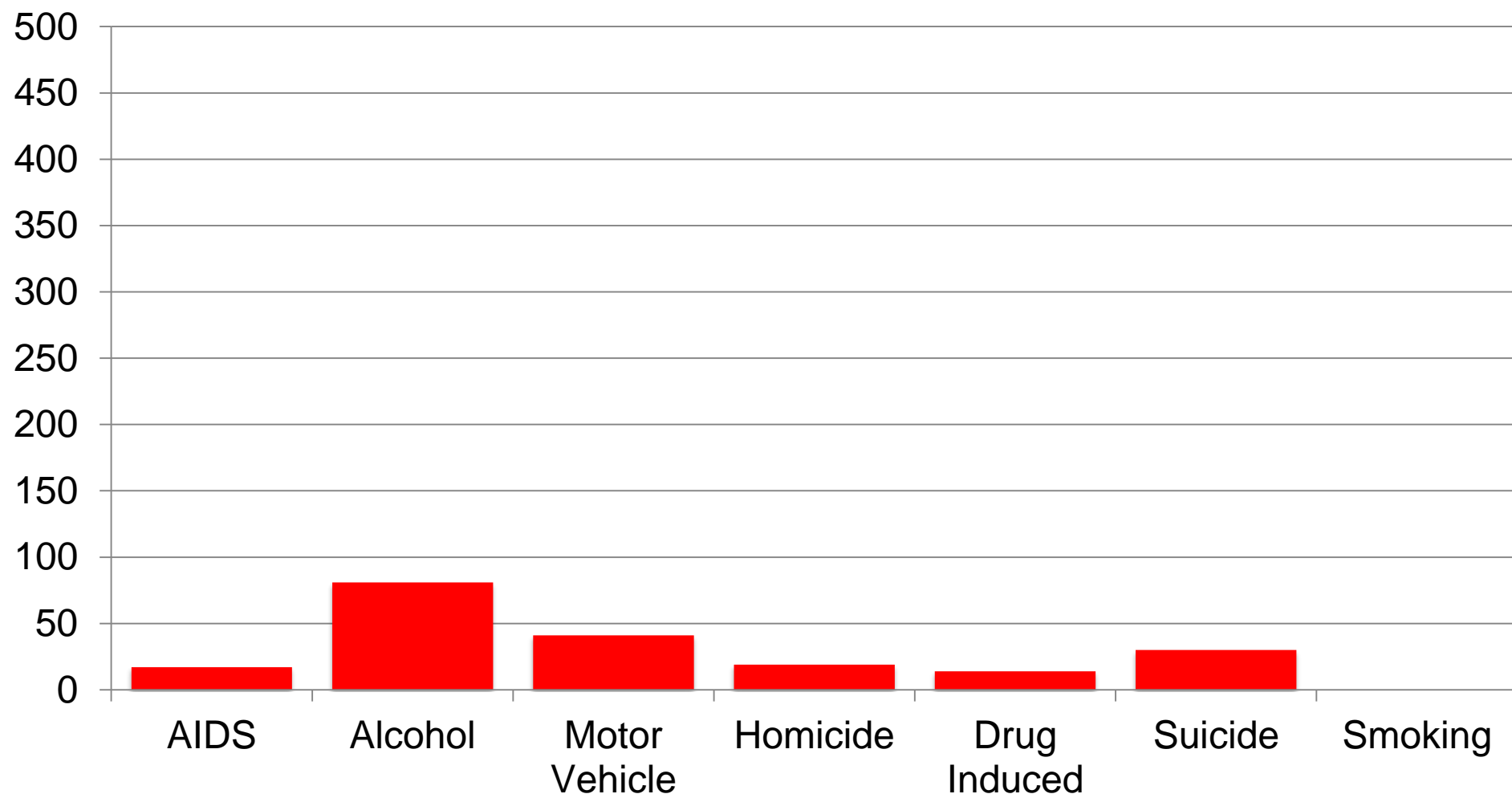
Director, Tobacco Research & Intervention lab

[marc.steinberg@rutgers.edu](mailto:marc.steinberg@rutgers.edu)

 @MLSteinberg

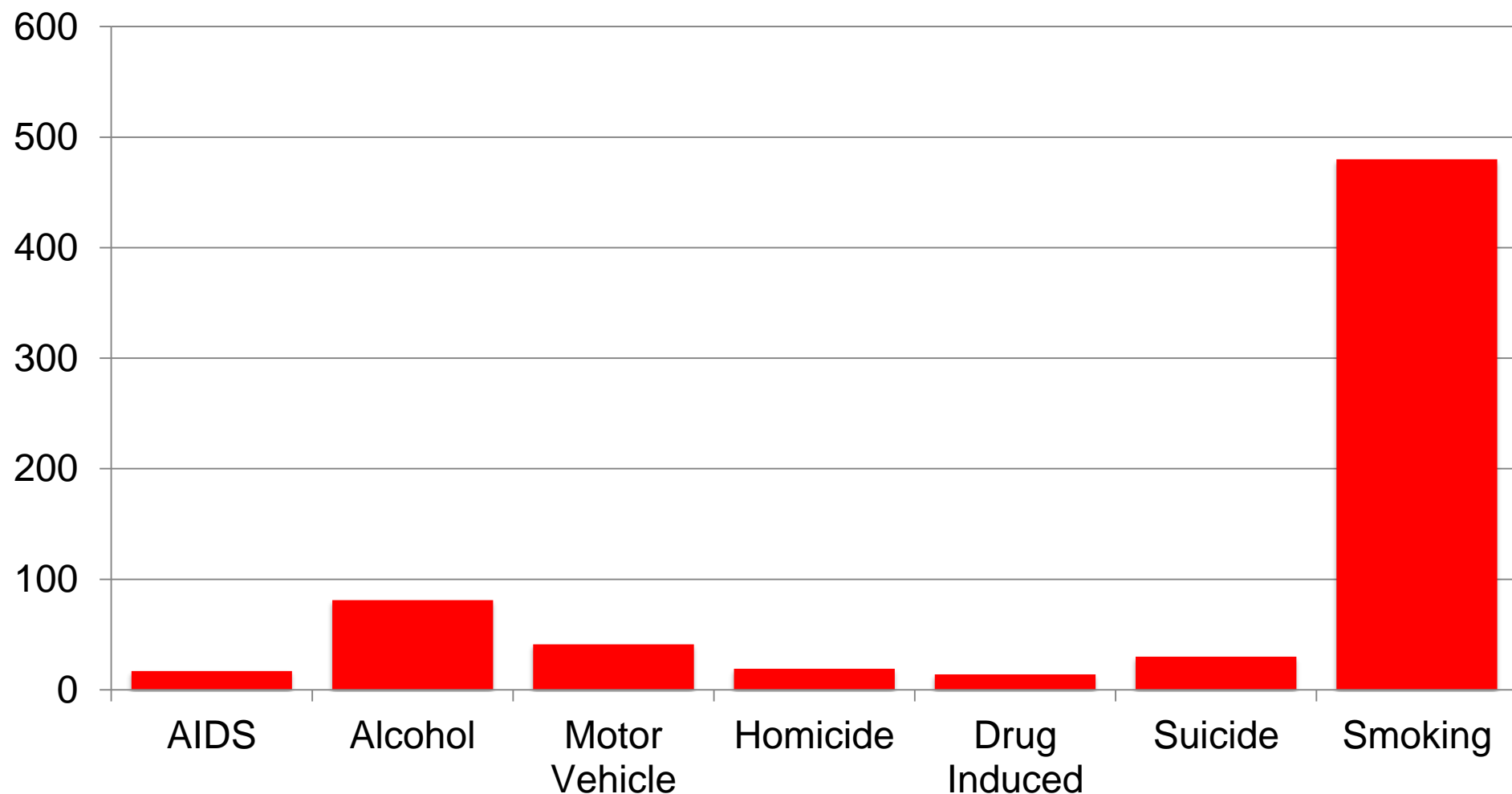
# Comparative Causes of Annual Deaths in the U.S.

(In Thousands of Deaths)



# Comparative Causes of Annual Deaths in the U.S.

(In Thousands of Deaths)



# Smokers with mental illness?



# Adult Smoking

## Focusing on People with Mental Illness

**1 in 3** 

More than 1 in 3 adults (36%) with a mental illness smoke cigarettes, compared with about 1 in 5 adults (21%) with no mental illness.

 **3 in 10**

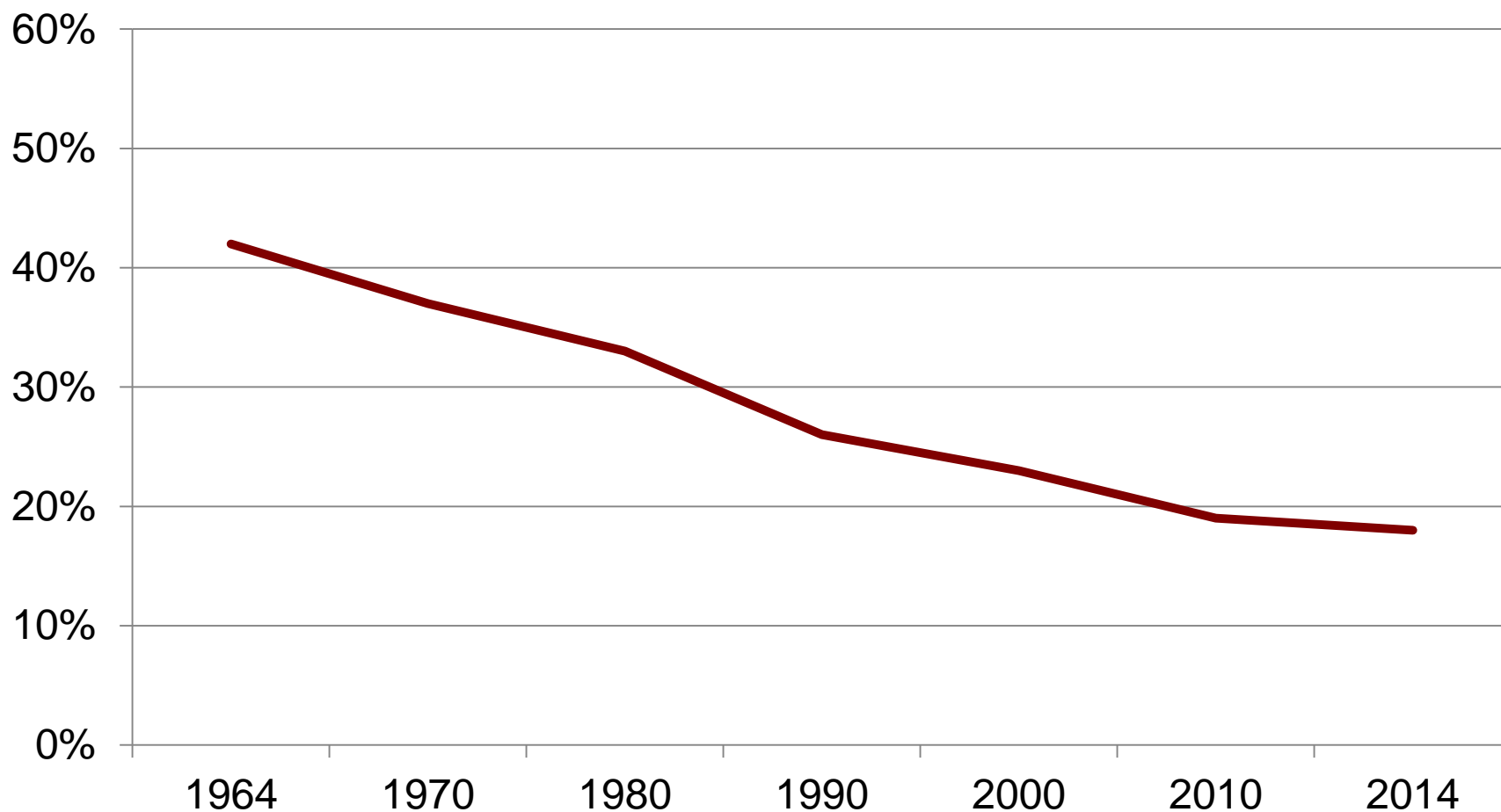
About 3 of every 10 cigarettes (31%) smoked by adults are smoked by adults with mental illness.

Cigarette smoking is the leading preventable cause of disease, disability, and death in the US. Despite overall declines in smoking, more people with mental illness smoke than people without mental illness. Because many people with mental illness smoke, many of them will get sick and die early from smoking.

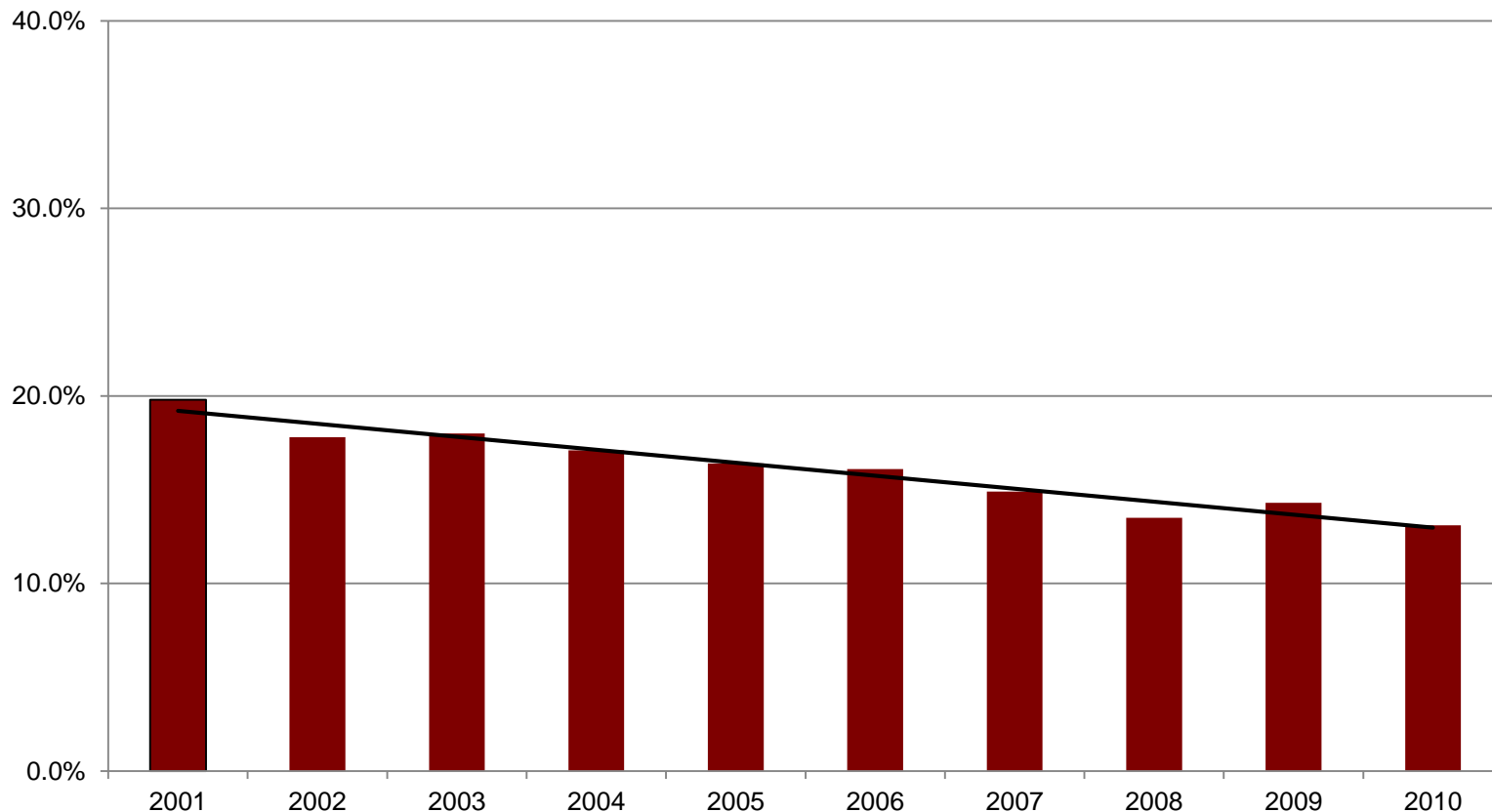
Recent research has shown that, like other smokers, adults with mental illness who smoke want to quit, can quit, and benefit from proven stop-smoking treatments. Some mental health providers and facilities have made progress in this area, while others are now beginning to

**1 in 5** 

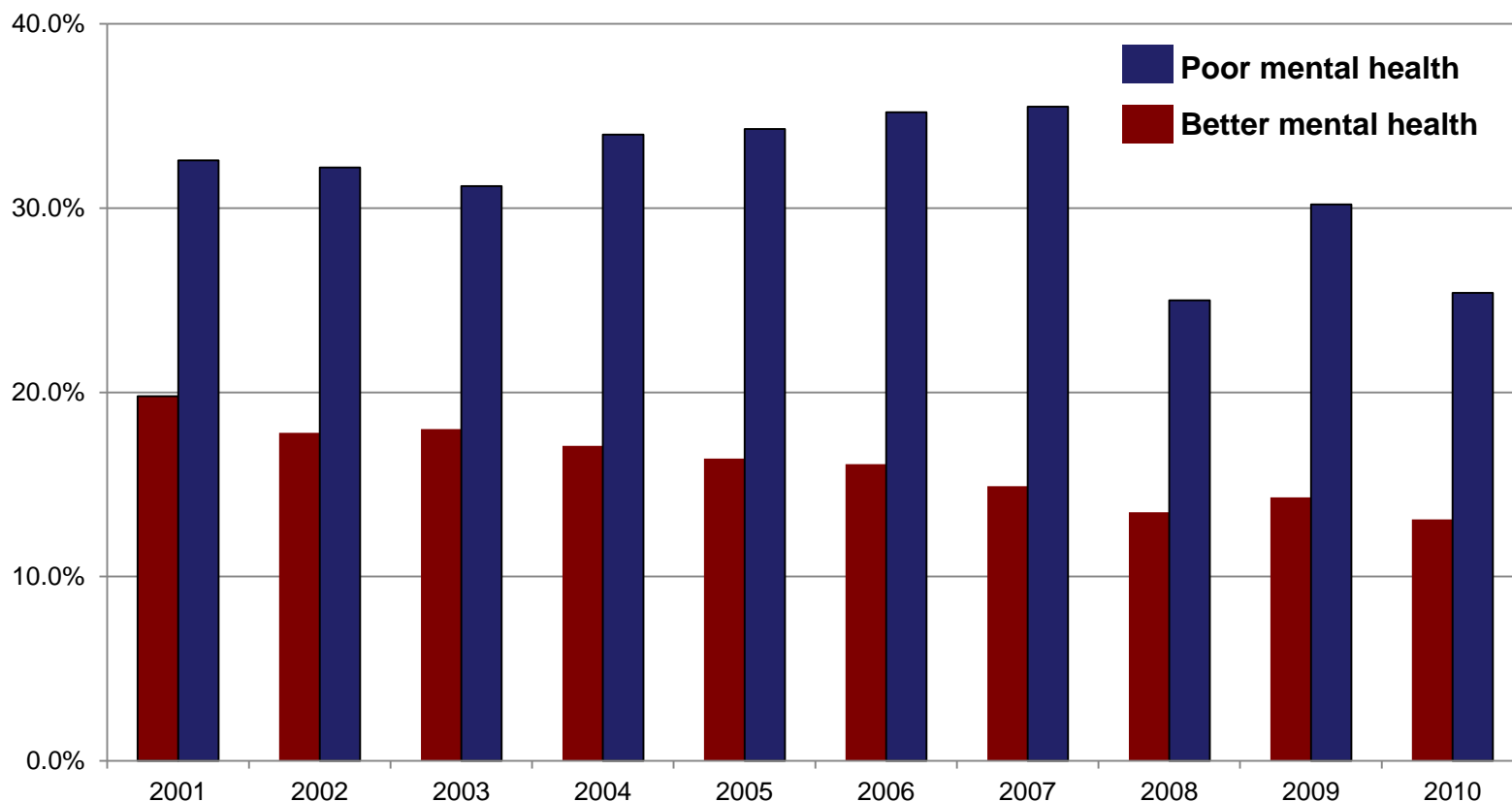
# Adult smoking prevalence in U.S.



## Smoking prevalence in New Jersey for those reporting good mental health 2001 – 2010



# Smoking prevalence in New Jersey for those reporting good mental health 2001 – 2010



Greater smoking prevalence was found in those with poor mental health as compared to those without poor mental health, after adjusting for age, sex, race, income, and education ( $OR = 2.001$  [95% CI: 1.836 – 2.181],  $p < 0.0001$ ).

Steinberg ML, Williams JM, Li Y. Poor mental health and reduced decline in smoking prevalence. *Am J Prev Med.* 2015;49(3):362-369. doi:10.1016/j.amepre.2015.01.016

# **Need to Encourage Those In Behavioral Health to Quit**

Steinberg ML, Ziedonis DM, Krejci JA, Brandon TH. (2004). Motivational Interviewing With Personalized Feedback: A Brief Intervention for Motivating Smokers With Schizophrenia To Seek Treatment for Tobacco Dependence. *Journal of Consulting & Clinical Psychology*, 72(4), 723-728.

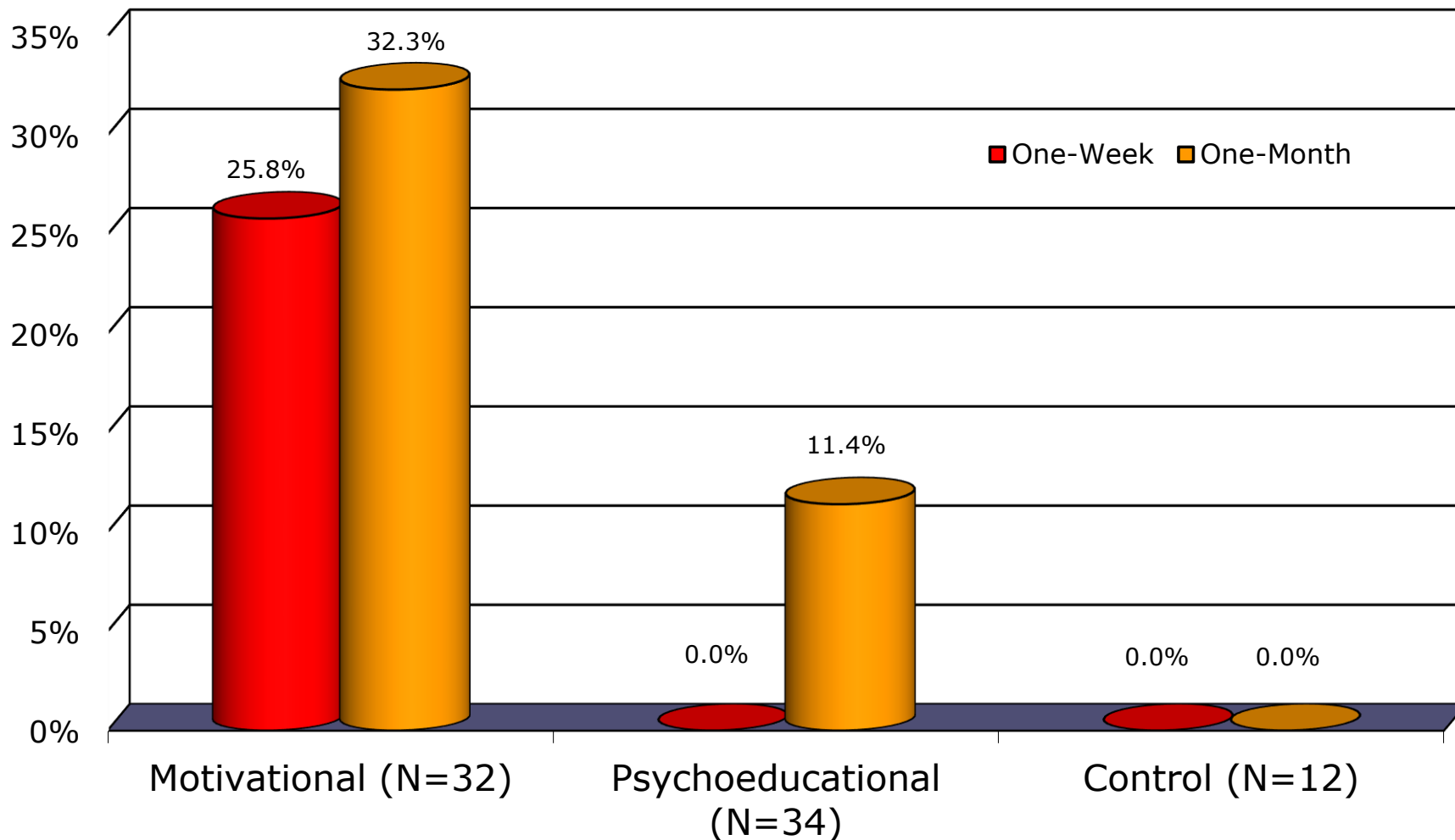
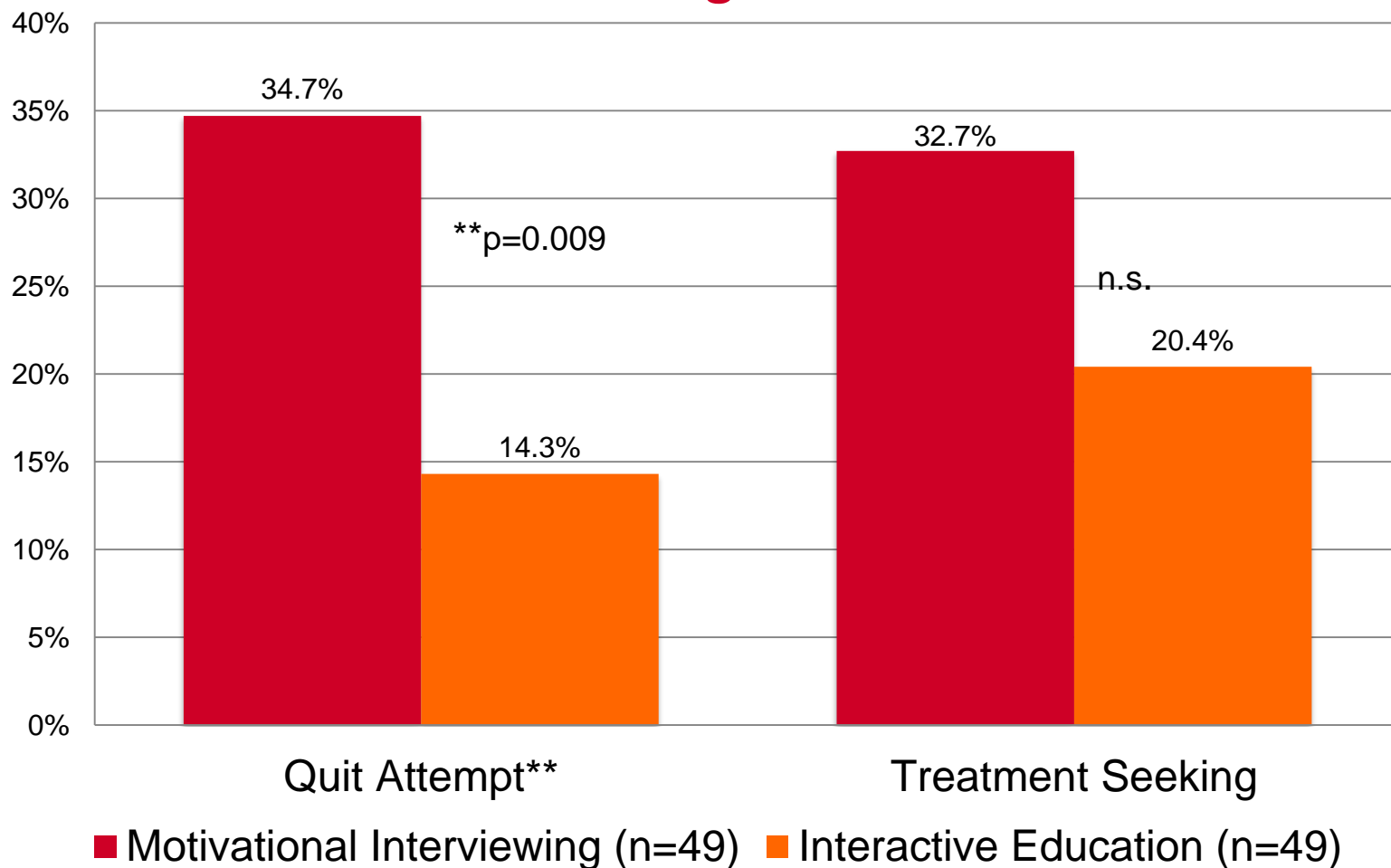


Figure 1. Percentage of participants receiving each intervention following up on referral to tobacco dependence treatment at one-week and one-month post-intervention

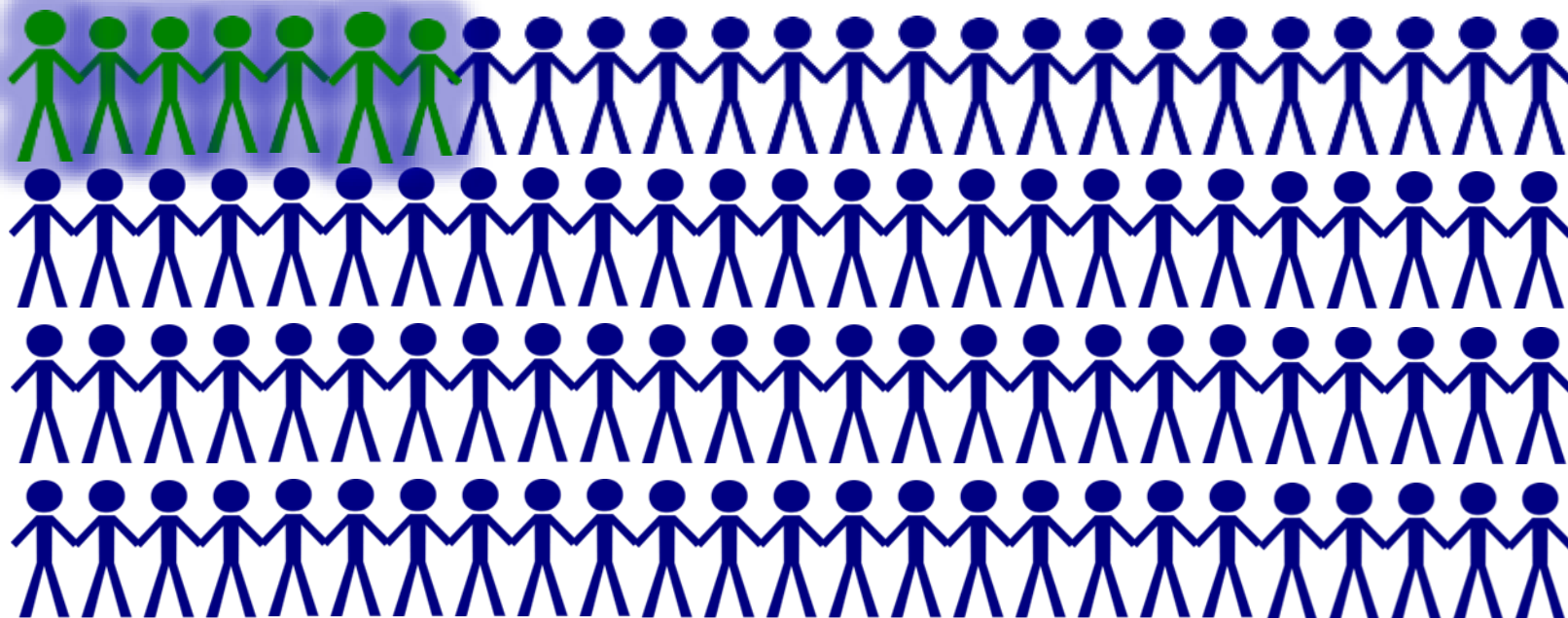
# Motivational Interviewing produced more quit attempts, but not greater formal treatment seeking



# Clinical Implications

- MI appears to be a better strategy than more commonly utilized techniques
- Indicates this population can benefit from brief interventions
- Should offer brief interventions to engage in treatment and initiate quit attempts

*Only 6.8% of smokers making a 24-hour quit attempt receive any psychosocial treatment*



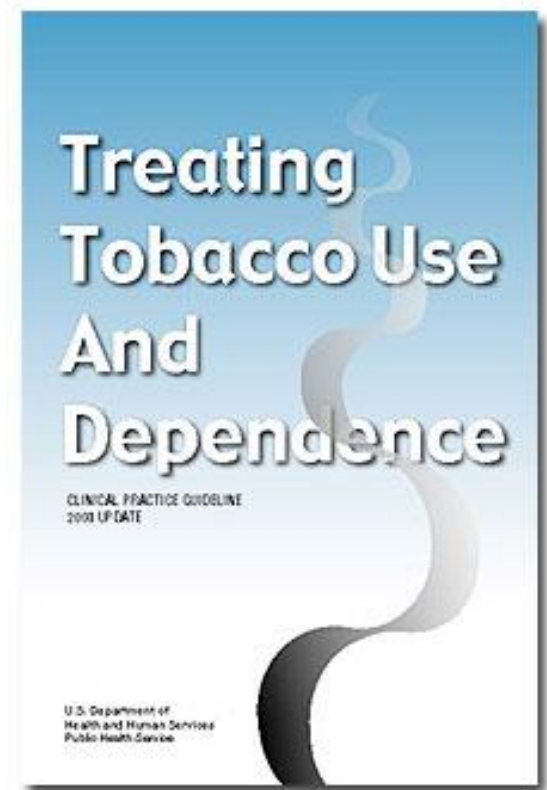
# Psychosocial approaches

	Risk Ratio	95% CI	Sample Size	# of Studies
Group therapy vs. self-help only <sup>4</sup>	1.98	1.60 - 2.46	4,375	13
Individual Counseling vs. minimal contact control <sup>5</sup>	1.39	1.24 - 1.57	9,587	22
Physician advice to quit vs. No advice / Usual care <sup>6</sup>	1.76	1.58 – 1.95	22,240	26
Motivational Interviewing vs. Brief advice / Usual care <sup>7</sup>	1.27	1.14 - 1.42	10,538	14
Proactive phone counseling (multi-session) vs. self-help or brief counseling <sup>8</sup>	1.37	1.16 – 1.50	24,904	9

4. Stead LF, Lancaster T. Group behaviour therapy programmes for smoking cessation. Cochrane Database of Systematic Reviews 2005, Issue 2. Art. No.: CD001007.
5. Lancaster T, Stead LF. Individual behavioural counselling for smoking cessation. Cochrane Database of Systematic Reviews 2005, Issue 2. Art. No.: CD001292.
6. Stead LF, Bergson G, Lancaster T. Physician advice for smoking cessation. Cochrane Database of Systematic Reviews 2008, Issue 2. Art. No.: CD000165.
7. Lai DTC, Cahill K, Qin Y, Tang JL. Motivational interviewing for smoking cessation. Cochrane Database of Systematic Reviews 2010, Issue 1. Art. No.: CD006936.
8. Stead LF, Perera R, Lancaster T. Telephone counselling for smoking cessation. Cochrane Database of Systematic Reviews 2006, Issue 3. Art. No.: CD002850. DOI: 10.1002/14651858.CD002850.pub2.

# Empirical Evidence: Specific Psychosocial components

- Supportive Treatments
- Practical Counseling



Fiore MC, Jaen CR, Baker TB, et al. *Treating Tobacco Use and Dependence: 2008 Update. Clinical Practice Guideline*. Rockville, MD: U.S. Department of Health and Human Services. Public Health Service. May 2008.

# Supportive Treatment

- Encourage
- Communicate caring / concern
- Discuss quitting process

# Practical Counseling

- Recognize high-risk situations
- Provide basic information about treatment
  - Quit date preparation

# Behavioral Health providers have the required skill set

- You already help your patients with:
  - Problem-solving
  - Coping with difficult situations / emotions
  - Social skills training
  - Making better choices
  - Avoiding high risk situations

# Unique Issues for Smokers with Mental Illness: Psychiatric Symptoms

- Assess patient concerns about smoking and their symptoms
- Dispel common myths
- Teach alternate coping skills
- Collaborate with treatment team

# Unique Issues for Smokers with Mental Illness: Social Skills

- Drug refusal
- Problem solving
  - Reduce anger
  - Facilitate conversations
- Asking for social support
- Letting family / friends know they are quitting
  - Avoid “Happy Birthday! Here’s a carton of cigarettes”

# Unique Issues for Smokers with Mental Illness: Cognitive Limitations

- Take extra time when warranted
- Use repetition
- Assess understanding of topics
- Enhance self-efficacy
  - Cognitive limitations may inflate OR deflate self-efficacy

# Unique Issues for Smokers with Mental Illness: Therapeutic Alliance

- Show empathy – quitting is hard!
- Use Engaging skills of Motivational Interviewing

# Unique Issues for Smokers with Mental Illness: Lower task persistence

- Behavioral manifestation of distress tolerance
- Continuing to work towards a difficult or effortful goal
- Need to teach skills related to ability to tolerate distress



# Why Smokers with Mental Illness?

- High smoking prevalence
- Less likely to quit
- Devastating, and unique consequences
- Behavioral health professionals have the skills to help!



## Guest Presenter #2



### Jill M. Williams, MD

- Professor of Psychiatry & Director, Division of Addiction Psychiatry, Rutgers University-Robert Wood Johnson Medical School
- Faculty appointments at Cancer Institute of NJ and Rutgers Center for Alcohol Studies
- Board Certified in addiction psychiatry and member of the APA Council on Addictions
- Co-founder of CHOICES Program (Consumers Helping Others Improve their Condition by Ending Smoking)
- Started this work as clinical researcher, conducting clinical trials & human laboratory studies to improve smoking cessation rates in smokers with SMI



THE STATE UNIVERSITY  
OF NEW JERSEY

# Safe and Effective Pharmacological Tobacco Cessation Supports for Individuals with Behavioral Health Conditions

*Pharmacology*

*Jill Williams, MD*

[Jill.williams@rutgers.edu](mailto:Jill.williams@rutgers.edu)

# Disclosures

- Grant Support from Pfizer
- Consultant Pfizer
- Grant support from NIDA, NJDMHAS, ABPN, NYC DOHMH
- Consultant and Speaker for American Lung Association

**THERE ARE UP TO  
10X MORE TOBACCO ADS  
IN BLACK NEIGHBORHOODS  
THAN IN OTHER  
NEIGHBORHOODS.**



**INDIVIDUALS WITH MENTAL ILLNESS ACCOUNT FOR 46% OF CIGARETTES SOLD IN THE UNITED STATES.**



**THERE ARE MORE TOBACCO RETAILERS NEAR SCHOOLS IN LOW-INCOME AREAS THAN IN OTHER AREAS.**



**LGBTQ YOUNG  
ADULTS, 18-24,  
ARE NEARLY  
2X AS LIKELY TO  
SMOKE AS THEIR  
STRAIGHT PEERS**



WWW.THETRUTH.COM

# Smoking is a Social Justice Issue

[www.thetruth.com](http://www.thetruth.com)

# Improved Mental Health with Quitting Smoking

- Meta-analysis 26 studies (14 gen pop, 4 psychiatric, 3 physical conditions, 2 psychiatric or physical, 2 pregnant, 1 post-op)

**Table 1** | Effect of smoking cessation on mental health. Sensitivity analysis after removal of studies of low quality (medium-quality Newcastle-Ottawa scale)

Outcome	No of studies included	No of studies excluded	Standardised mean difference (95% CI)	
			Effect estimate	Original effect estimate
Anxiety	4	0	-0.37 (-0.70 to -0.03)	-0.37 (-0.70 to -0.03)
Depression	9	1	-0.29 (-0.42 to -0.15)	-0.25 (-0.37 to -0.12)
Mixed anxiety and depression	4	1	-0.36 (-0.58 to -0.14)	-0.31 (-0.47 to -0.14)
Psychological quality of life	4	4	0.17 (-0.02 to 0.35)	0.22 (0.09 to 0.36)
Positive affect	1	2	0.68 (0.24 to 1.12)	0.40 (0.09 to 0.71)
Stress	2	1	-0.23 (-0.39 to -0.07)	-0.27 (-0.40 to -0.13)

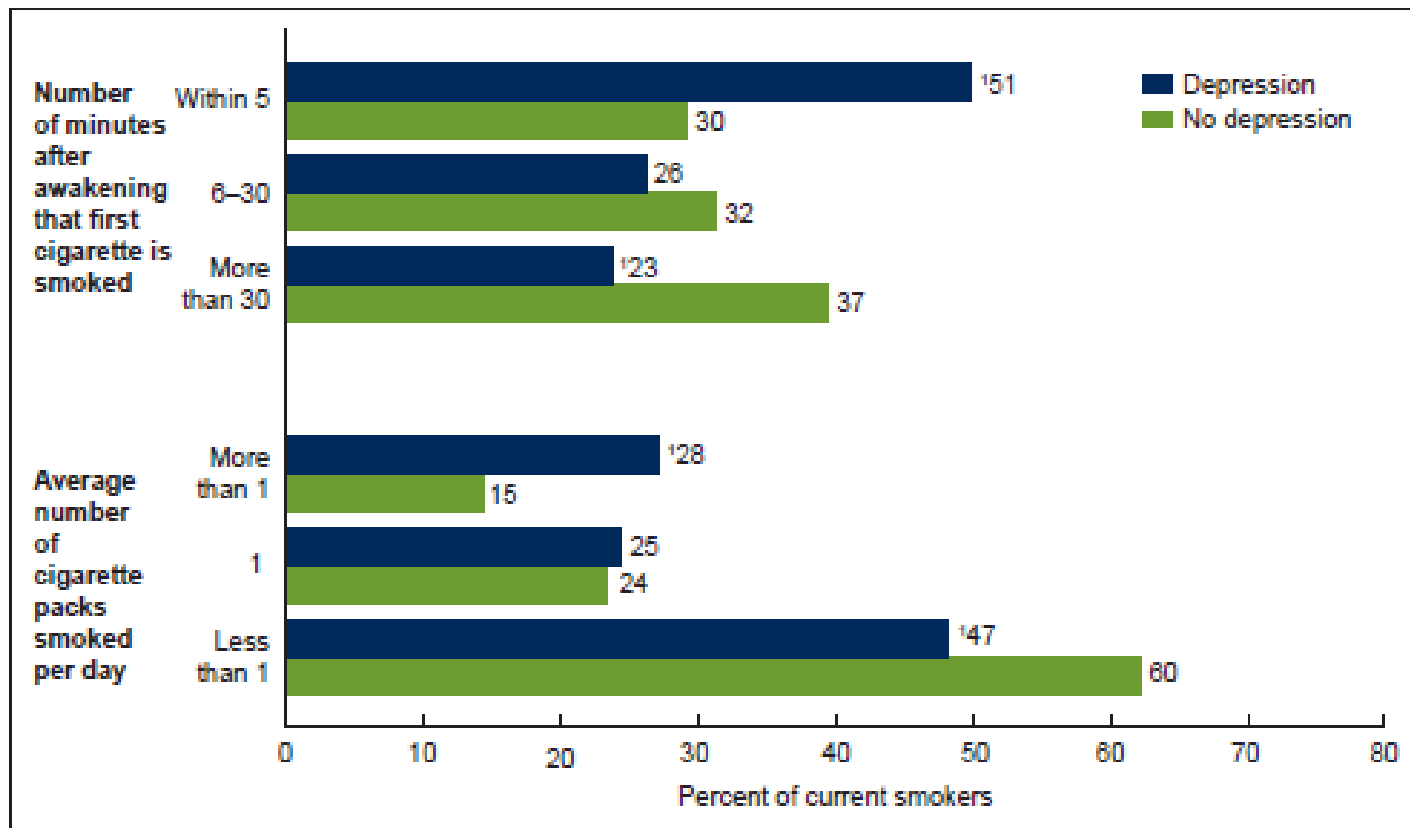
*Taylor et al, BMJ, 2014*

# Why are Patients Not Quitting?

- **Neurobiological**
- Psychological
- Social & Environmental
- Spiritual & Advocacy
- **Treatment System & Institutional**
- **Greater dependence**
- Poor coping; low confidence
- Live with smokers
- No hope; No peers succeeding
- **Limited access help**

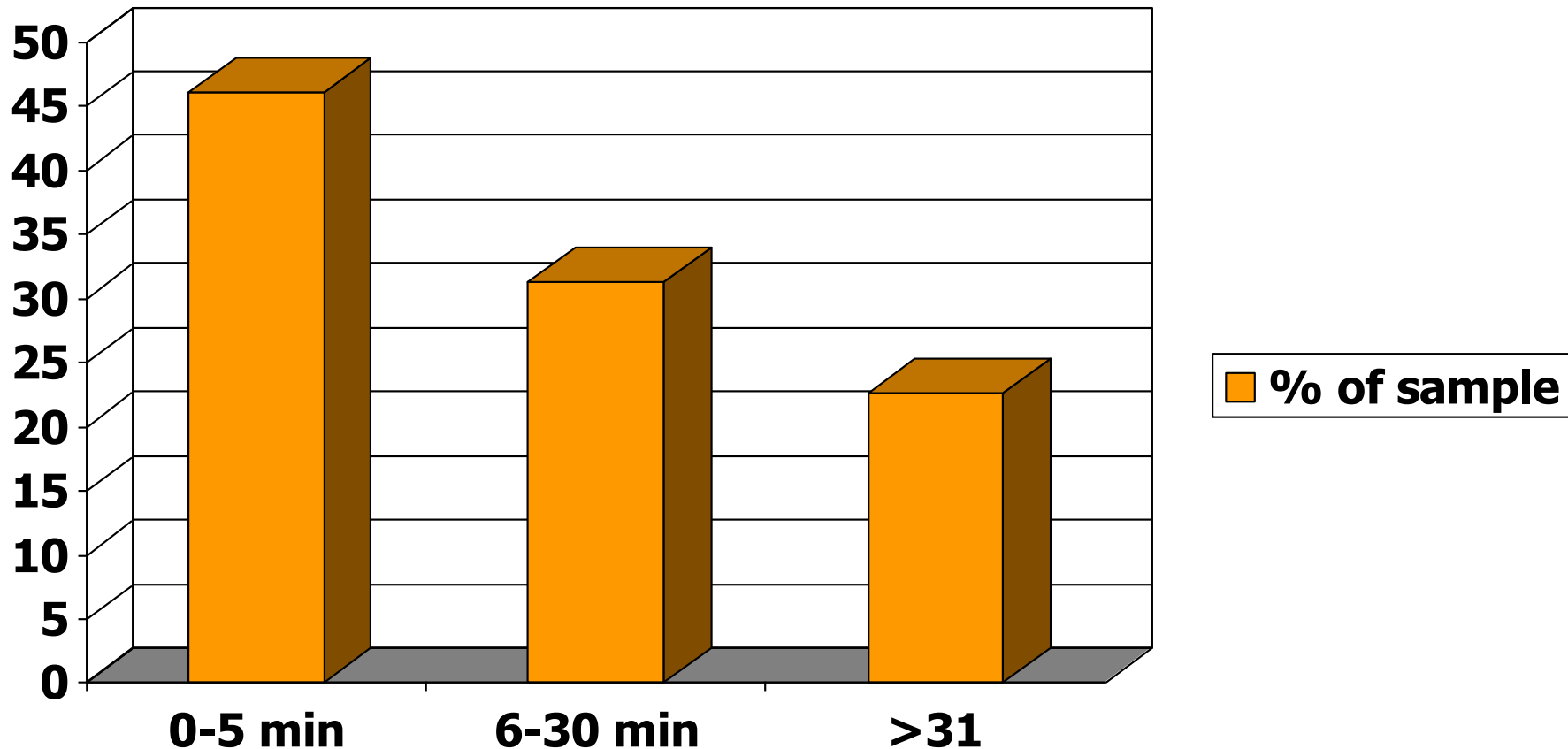
# Smokers with depression smoke more cpd and are more dependent

Figure 3. Percentage of current smokers aged 20 and over, by time of first cigarette and amount smoked per day, by depression status: United States, 2005–2008



\*Significantly different from no depression.

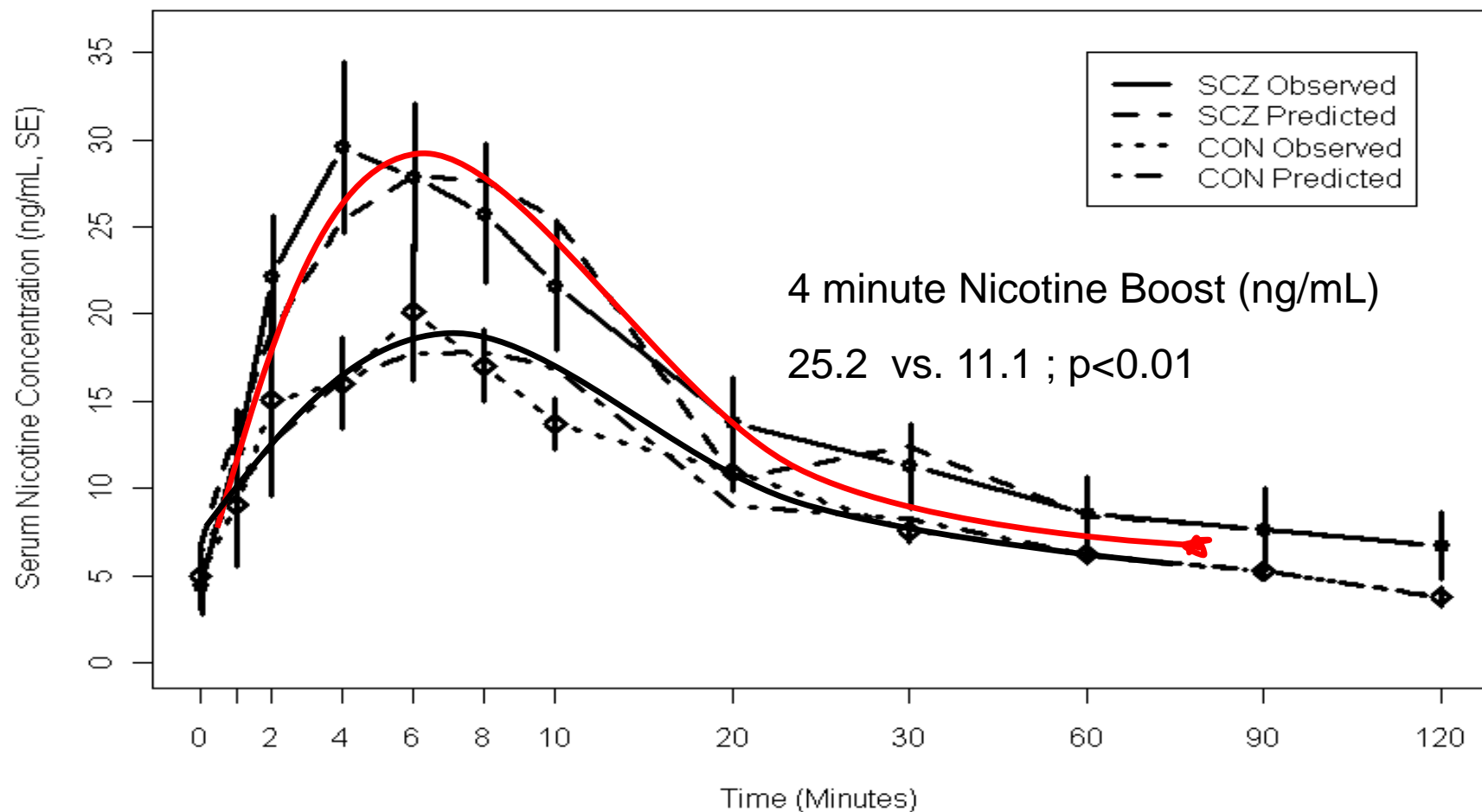
# Smokers in Addiction Treatment are Moderately to Severely Addicted to Nicotine



N=1882 smokers in NJ addictions treatment, 2001-2002;

*Williams et al., 2005*

# Individuals with schizophrenia highly addicted



Greater nicotine intake per cigarette

*Williams NTR 2010*

# **Tobacco Withdrawal**

## **4 or more**

Depressed mood

Insomnia

Irritability, frustration or anger

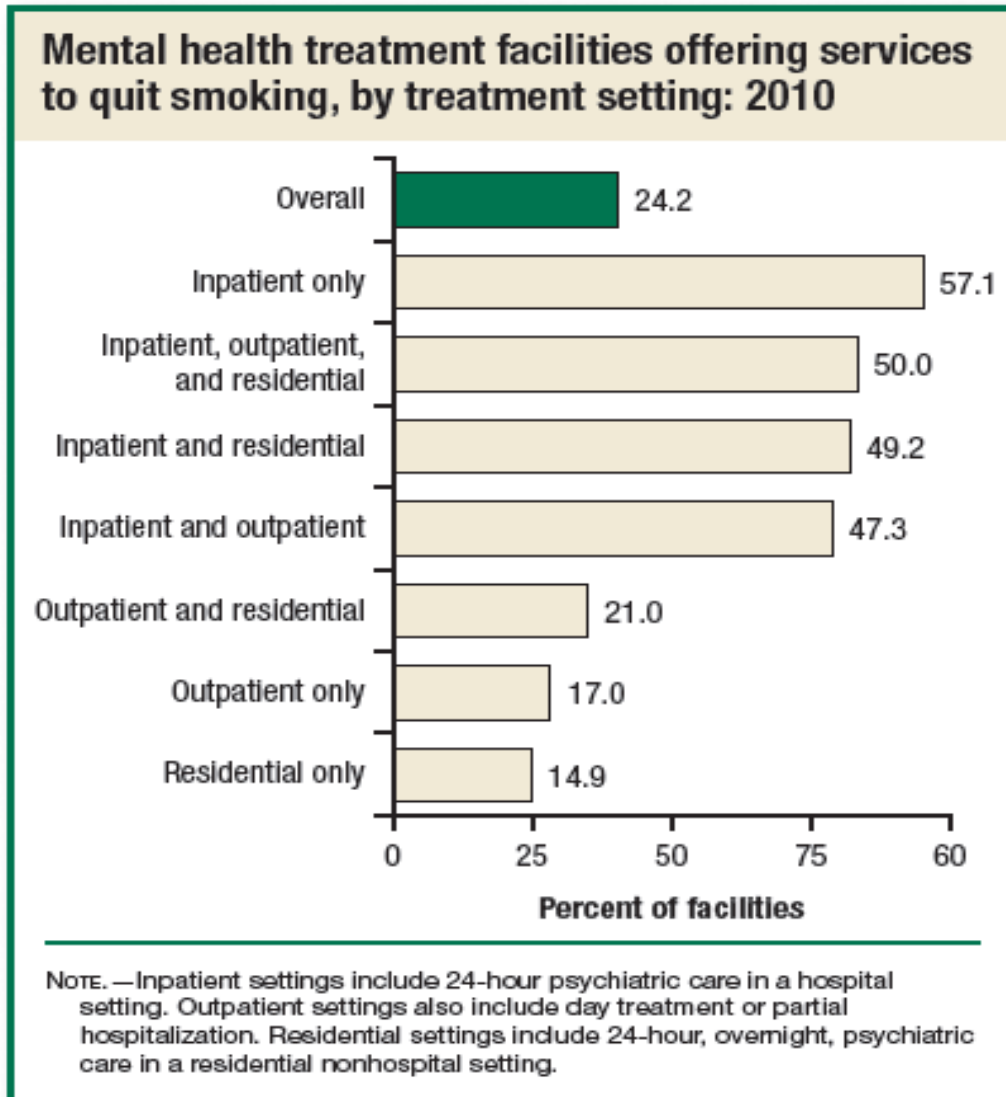
Anxiety

Difficulty concentrating

Restlessness

Increased appetite or weight gain

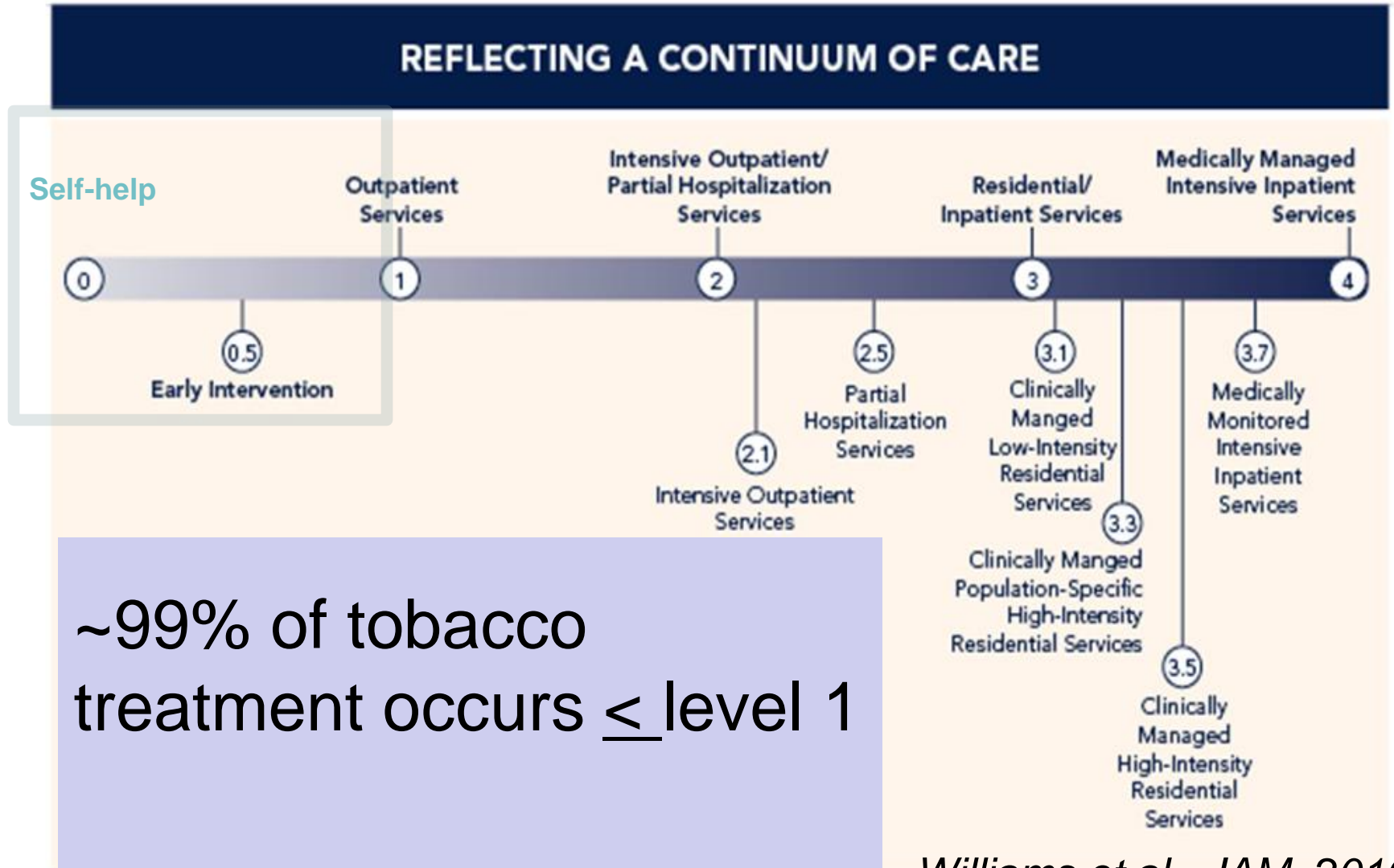
# Only 1 in 4 Mental Health Treatment Facilities Offers Quit Smoking Services



Survey of 9048 MH facilities in US (2010)

*N-MHSS Report, Nov 2014*

# ASAM Addiction Levels of Care



*Williams et al., JAM, 2016*

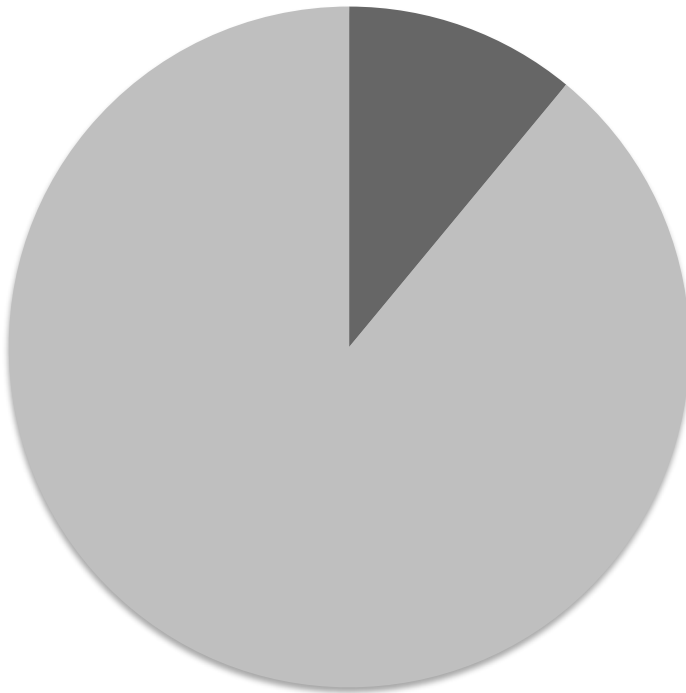
# Reduced Access to Specialty Tobacco Treatment

23 million individuals  
need treatment for an  
drug or alcohol use  
problem

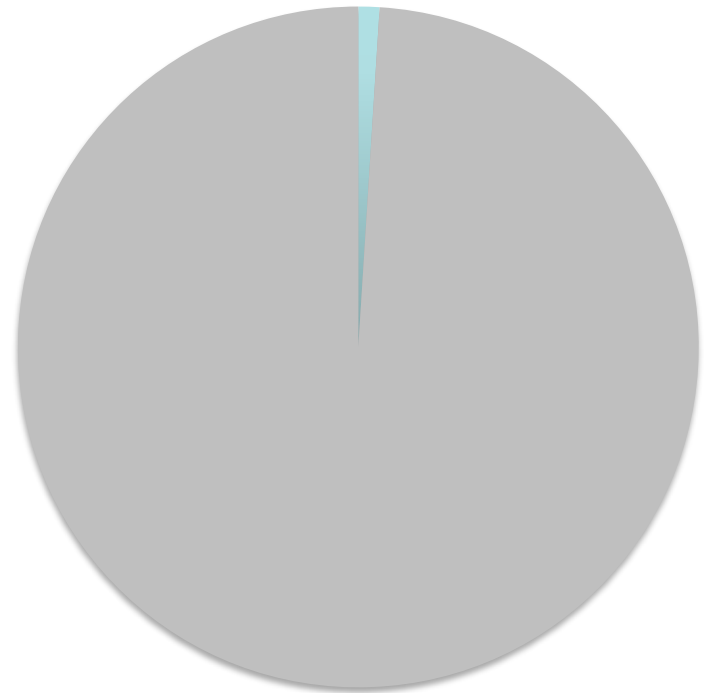
51 million use cigarettes

11% Access

1% Use Quitlines



12% received intensive outpatient (IOP)



# Effectiveness of First Line Medications

Results from meta-analyses comparing to placebo (6 month F/U)

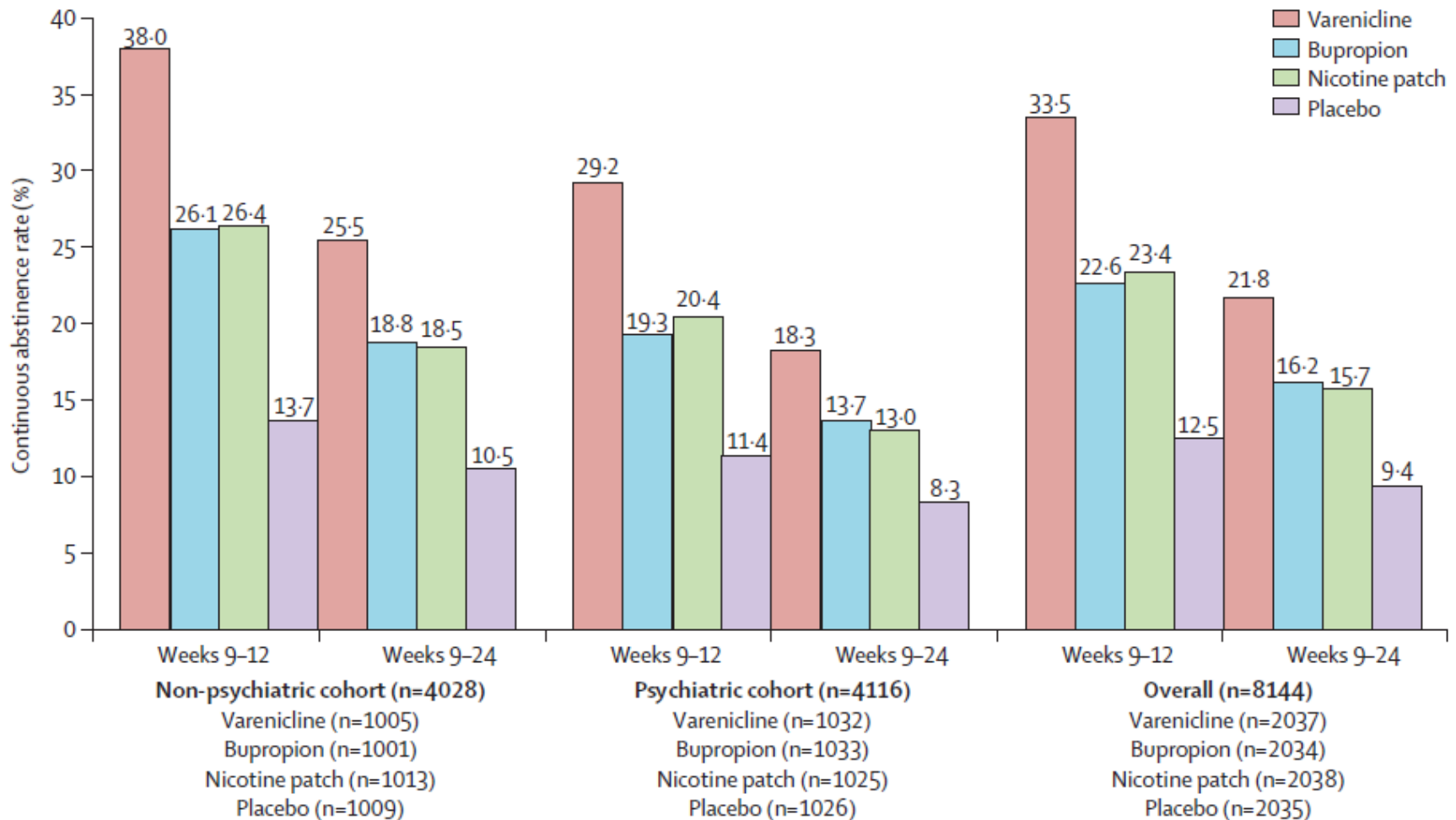
Medication	No. Studies	OR	95% CI
Nic. Patch (6-14 wks)	32	1.9	1.7-2.2
Nic. Gum (6-14 wks)	15	1.5	1.2-1.7
Nic. Inhaler	6	2.1	1.5-2.9
Nic. Spray	4	2.3	1.7-3.0
Bupropion	26	2.0	1.8-2.2
Varenicline (2mg/day)	5	3.1	2.5-3.8

# Neuropsychiatric Safety and Efficacy Varenicline, Bupropion, Nicotine Patch Smokers with and without Psych Disorders (EAGLES)

- 8144 ( 4416 psych and 4028, non psych by SCID)
- Triple dummy (DB-PC) x 12 weeks
  - 21mg patch taper
  - Varenicline mg BID
  - Bupropion 150 BID
- Largest smoking cessation study
- 33% lifetime suicidal ideation (12% behavior); 50% on psych meds
  - 70% depression/ bipolar
  - 20% anxiety d/o
  - 10% psychotic
  - 1% personality disorder
- Brief weekly counseling
- Funded Pfizer and Glaxo (GSK)

*Anthenelli et al., Lancet 2016*

# Varenicline superior to BUP and NP overall and in psych and non psych cohorts

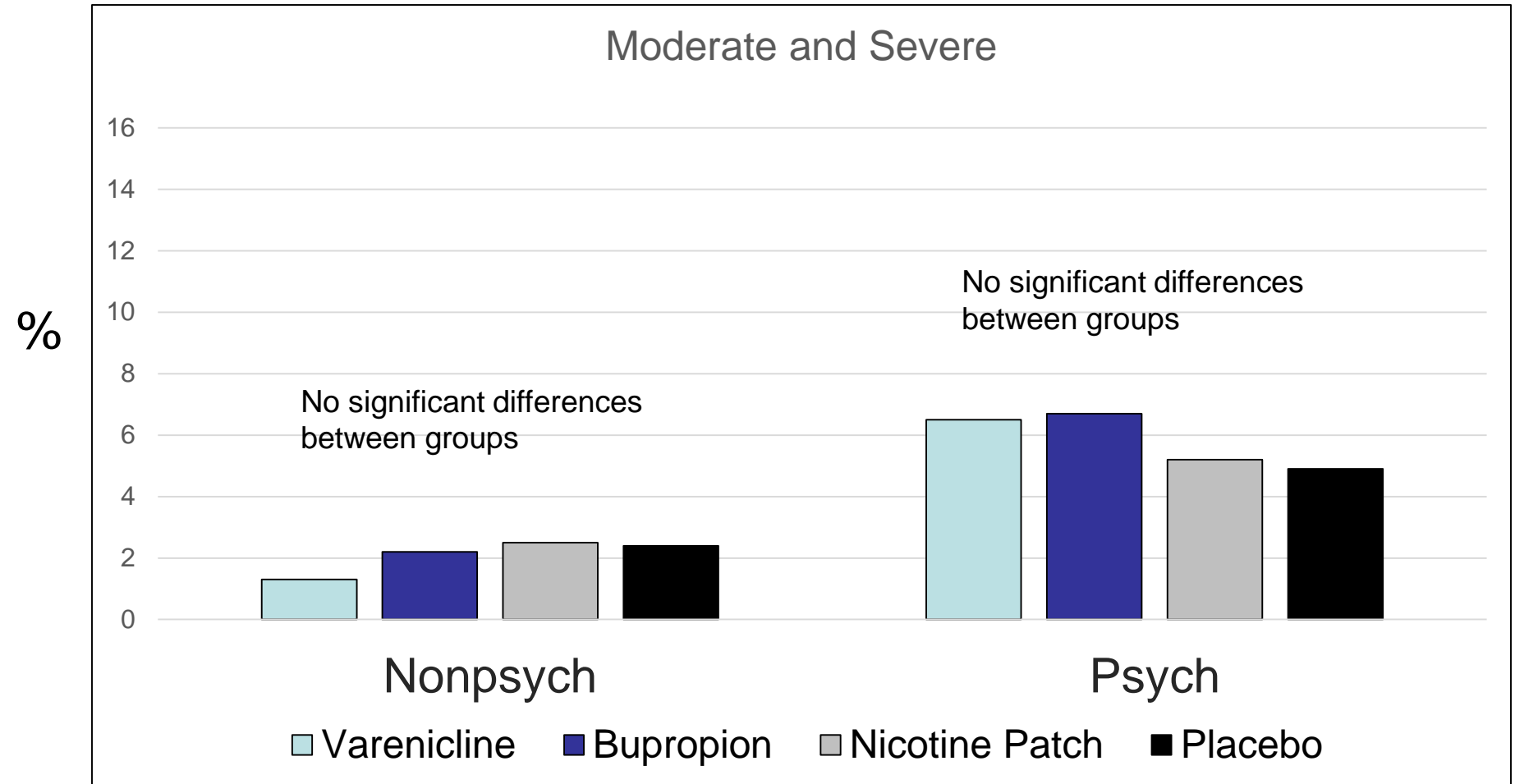


*Anthenelli et al., Lancet 2016*

# Neuropsychiatric Composite

- Anxiety/ Panic
- Depression
- Feeling abnormal
- Hostility
- Agitation
- Aggression
- Delusions
- Hallucinations/ Paranoia/ Psychosis
- Homicidal ideation
- Mania
- Suicidal ideation or behavior

# Rates of Neuropsychiatric Adverse Events



VAR ↑ Side effects: Nausea, insomnia, abnormal dreams, headache

*Anthenelli et al., Lancet 2016*

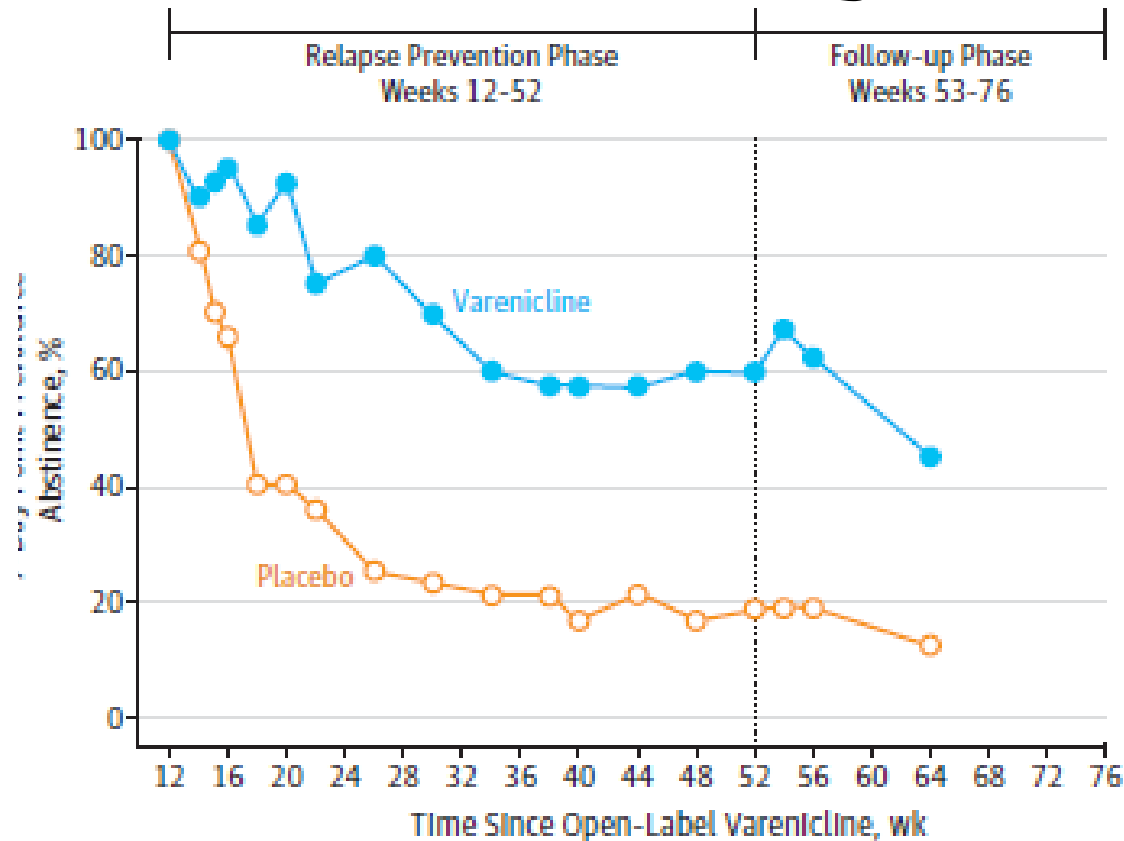
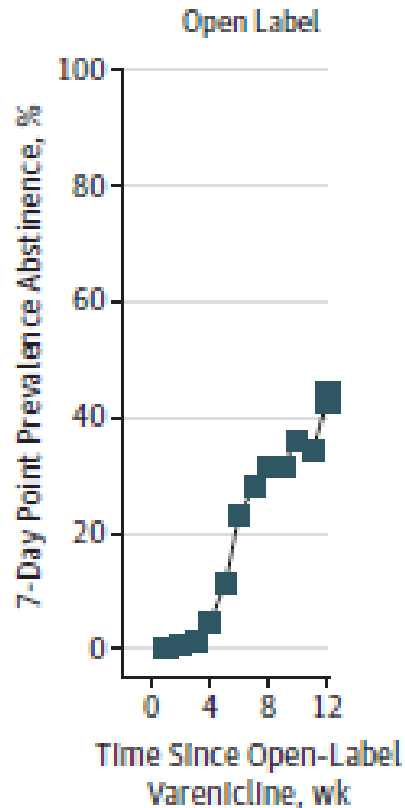
# FDA Approves **Removal** Of Boxed Warning Regarding Serious Neuropsychiatric Events From CHANTIX® (varenicline) Labeling

- Based on a U.S. Food and Drug Administration (FDA) review of a large clinical trial that we required the drug companies to conduct, we have determined the risk of serious side effects on mood, behavior, or thinking with the stop-smoking medicines Chantix (varenicline) and Zyban (bupropion) is lower than previously suspected. The results of the trial confirm that the benefits of stopping smoking outweigh the risks of these medicines (December 2016)

<http://www.fda.gov/Drugs/DrugSafety/ucm532221.htm>

# Maintenance Varenicline

## Greater abstinence at 1 year



87 smokers with  
SCZ/ BPD from  
open label  
phase

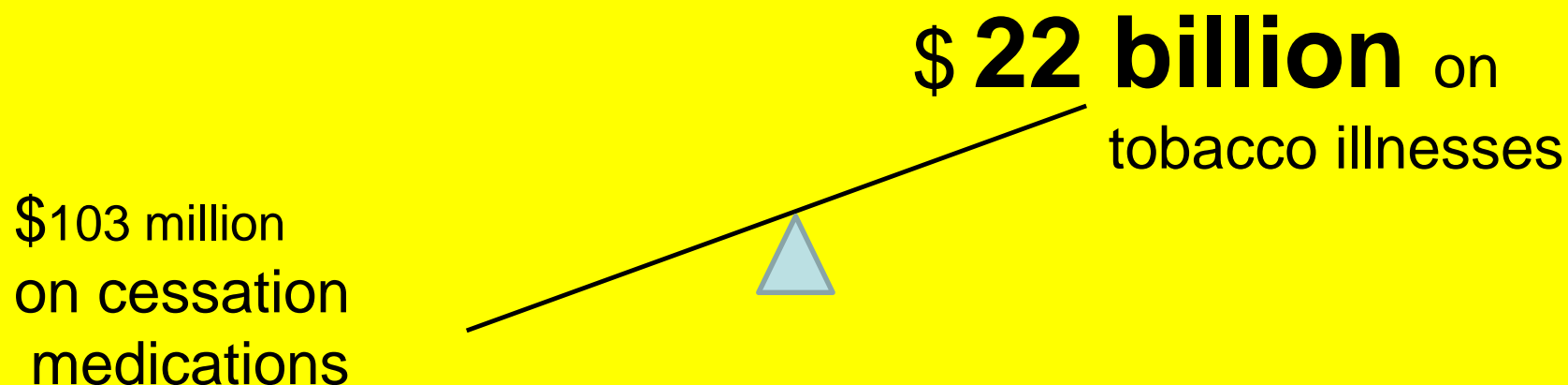
Randomized at week 12 to 1mg BID

*Evins, JAMA 2014; Pachas et al., JDD 2012*

Yet..... The Medicaid PARADOX

## Big Gaps Remain In Efforts To Get Smokers To Quit

In 2013 Medicaid spent **less than 0.25 %** of the estimated **cost of smoking related diseases**



# **Recommendations for Ideal Medicaid Benefit**

- **Coverage of all 7 FDA approved meds**
  - No Prior Authorization (PA)
  - No requirement to be in counseling
  - No stepped care
  - No time limits
  - No banning combinations
- Coverage of multiple options for counseling
- Access to several courses of meds/ year
- Access to multi-session counseling/ year
- Low or no co-pay

*ALA; PFP; Action to Quit 2010*

# NY Medicaid and Medications Used For Smoking Cessation

- Course limitations will not apply to enrollees with a SUD and/or a diagnosis of mental illness;
- MMC plans will allow for concomitant utilization of two (2) agents, defined as: two (2) Nicotine Replacement Therapies (NRT); a NRT and bupropion Sustained Release (SR); or a NRT and Chantix.
- Formulary coverage of all smoking cessation agents



[https://www.health.ny.gov/health\\_care/medicaid/program/update/2015/2015-09.htm#cha](https://www.health.ny.gov/health_care/medicaid/program/update/2015/2015-09.htm#cha)

[https://www.health.ny.gov/health\\_care/medicaid/program/update/2016/2016-06.htm#behav](https://www.health.ny.gov/health_care/medicaid/program/update/2016/2016-06.htm#behav)

# Conclusions

Treatments increase the success rates and should be used in all smokers

Many behavioral health conditions associated with greater levels of tobacco dependence

Varenicline is safe and effective in the population and has greater efficacy than prior treatments

[jill.williams@rutgers.edu](mailto:jill.williams@rutgers.edu)



## Guest Presenter #3



### Trish Dooley Budsock, MA, LPC, CTTS

- Mental health clinician, Division of Addiction Psychiatry
- Licensed Professional Counselor & Tobacco Treatment Specialist
- Director of CHOICES (Consumers Helping Others Improve their Condition by Ending Smoking)
- Has worked in fields of addictions & mental health since 1995
- Acted as clinician and clinical supervisor for many clinical trials specific to medications & behavioral therapies for tobacco dependence in SMI population

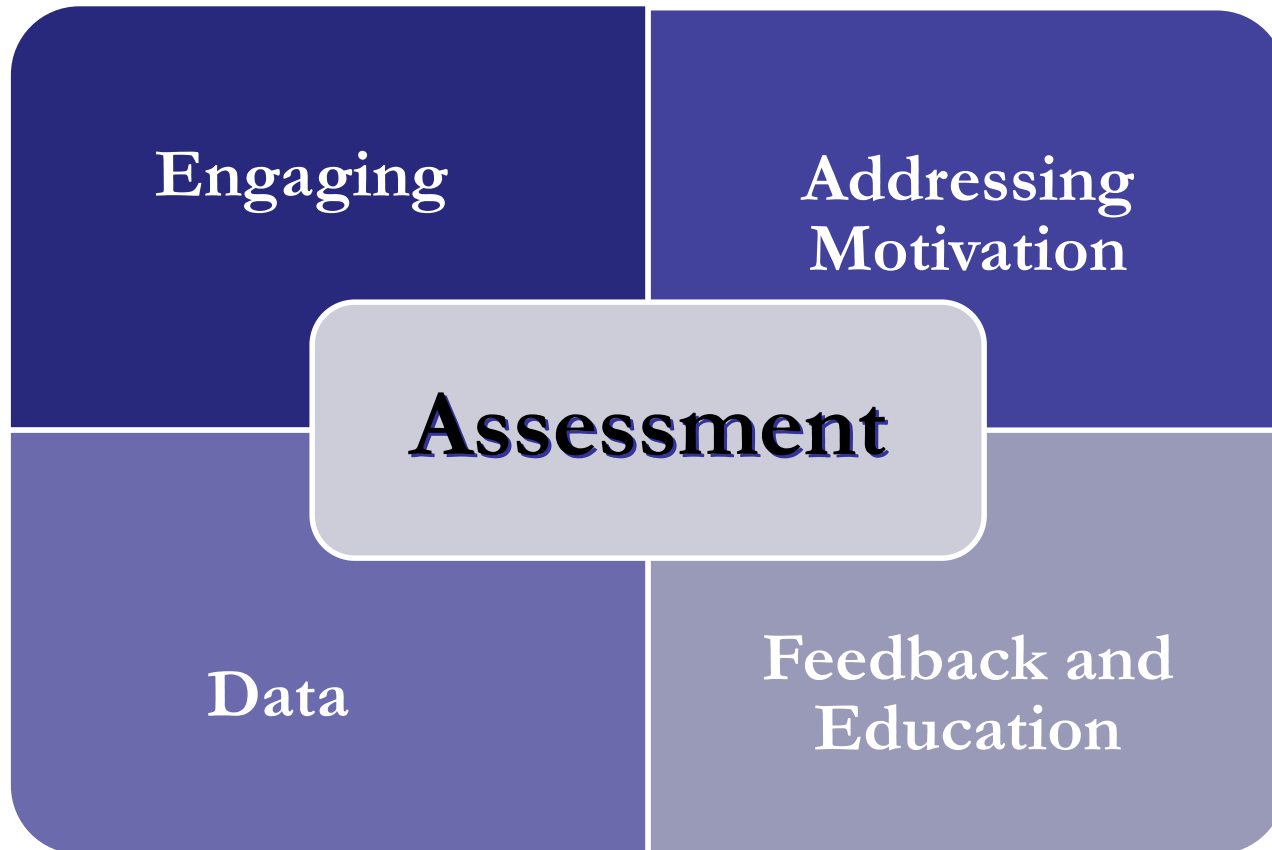


# Safe and Effective Pharmacological Tobacco Cessation Supports for Individuals with Behavioral Health Conditions

*Provider Experience of Providing Treatment for  
Clients with Psychiatric Conditions*

*Trish Dooley Budsock, MA, LPC, CTTS*

[dooleypc@rutgers.edu](mailto:dooleypc@rutgers.edu)



## *Biological Assessment*

- Evaluate Tobacco Use Disorder
  - DSM V Codes 305.1
    - (Z72.0 Mild)
    - (F17.200 Moderate)
    - (F17.200 Severe)
  - ICD Diagnostic Codes
- Tobacco Smoke Exposure/ Expired CO
- FTND
- Quitting History/Nicotine Withdrawal
- Medical Consequences of Tobacco Use

## *Psychological Assessment*

- **Motivation** to Quit
- Confidence
- Self-efficacy
- Coping Skills
- Mood Management



### **Confidence**



## *Social Assessment*

- Smokers in Home
- Smoking Indoors
- Smokers in Social Network
- Smoke-Free Recreation
- Support for Quitting



## *Treatment Planning*

**Based on assessment, Treatment Plan ideally contains the following:**

- **Diagnosis**
- **Problem Statements**
- **Goal Statements**
- **Objectives**
- **Therapies and activities (pharmacotherapies/behavioral therapies)**
- **Client preferences for treatment – should be done collaboratively, using Motivational Interviewing style.**



# Learning About Healthy Living

## TOBACCO AND YOU

*Written in 2004, Contributors:*

Jill Williams, MD

Douglas Ziedonis, MD, MPH

Nancy Speelman, CSW, CADC, CMS

Betty Vreeland, MSN, APRN, NPC, BC

Michelle R. Zechner, LSW

Raquel Rahim, APRN

Erin L. O'Hea, PhD

*Edited & Revised February 2012  
RWJMS Division of Addiction Psychiatry*

Available free online  
(2012 update)

[rwjms.rutgers.edu/psychiatry/divisions/addiction](http://rwjms.rutgers.edu/psychiatry/divisions/addiction)

## *Group I: Learning About Healthy Living*

- 20 Weeks
- Educational and Motivational
- Accepts all smokers with mental illness
- Smoking within the context of Healthy Living (Exercise, stress, & diet)

## *Group II: Quitting Smoking Group*

- 6 Weeks
- Focuses on quitting
- Uses evidence-based strategies with modifications

## *Providing Education in the Context of Counseling*

- Cigarette Ingredients
- Health Consequences
- Why Cigarettes are so addictive
- Withdrawal Symptoms
- Nicotine Replacement Therapy and Medications

## *Treatment modifications*

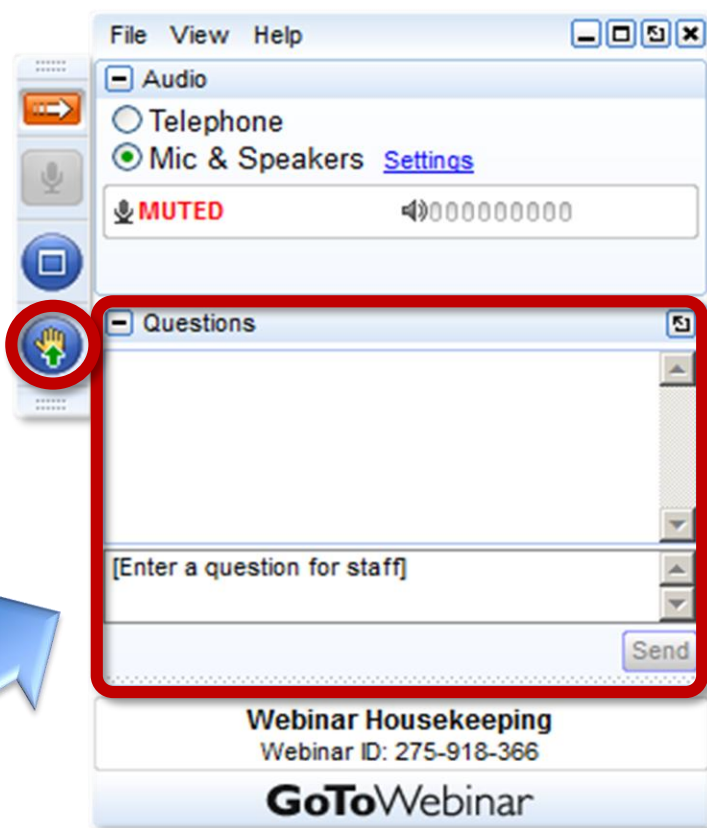
- Include peers in treatment approach, whenever possible.
- If following a manual, provide scenarios for:
  - Quitting
  - Reducing
  - Not quitting
  - Relapse.

## *Conclusion*

- Engage patients in discussion of tobacco
- Addressing motivation in an ongoing way is key
- Providing education
- Treatment planning designed to fit patient's motivation
- Don't give up! As with all addictions, relapse is a common clinical challenge, and should be addressed in an ongoing way.
- Treatment works!



# Questions?



To ask a question, type it into the Q&A box in your webinar window.



## National Behavioral Health Network

*For Tobacco & Cancer Control*

- Jointly funded by CDC's *Office on Smoking & Health* & *Division of Cancer Prevention & Control*
- Provides resources and tools to help organizations reduce tobacco use and cancer among people with mental illness and addictions
- 1 of 8 CDC National Networks to eliminate cancer and tobacco disparities in priority populations

Visit [www.BHtheChange.org](http://www.BHtheChange.org) and  
Join Today!

### Free Access to...

Toolkits, training opportunities, virtual communities and other resources

### Webinars & Presentations

### State Strategy Sessions

### Communities of Practice



Smoking Cessation  
Leadership Center



University of California  
San Francisco



#BHtheChange

NATIONAL COUNCIL  
FOR BEHAVIORAL HEALTH  
STATE ASSOCIATIONS OF ADDICTION SERVICES  
*Stronger Together.*



# Thank you for joining us!

*As you exit the webinar, please remember to  
complete the evaluation survey.*

**Questions?** Please contact Lea Simms at  
[LeaS@thenationalcouncil.org](mailto:LeaS@thenationalcouncil.org)